

Advisory Action Before the Filing of an Appeal Brief	Application No. 09/902,407	Applicant(s) ALSAFADI ET AL.
	Examiner NAMRATA BOVEJA	Art Unit 3622

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 02 June 2008 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a) The period for reply expires ____ months from the mailing date of the final rejection.
- b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. The Notice of Appeal was filed on _____. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

AMENDMENTS

3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because

- (a) They raise new issues that would require further consideration and/or search (see NOTE below);
- (b) They raise the issue of new matter (see NOTE below);
- (c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
- (d) They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: _____. (See 37 CFR 1.116 and 41.33(a)).

4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).

5. Applicant's reply has overcome the following rejection(s): _____.

6. Newly proposed or amended claim(s) ____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).

7. For purposes of appeal, the proposed amendment(s): a) will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.

The status of the claim(s) is (or will be) as follows:

Claim(s) allowed: _____.

Claim(s) objected to: _____.

Claim(s) rejected: _____.

Claim(s) withdrawn from consideration: _____.

AFFIDAVIT OR OTHER EVIDENCE

8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).

9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).

10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

REQUEST FOR RECONSIDERATION/OTHER

11. The request for reconsideration has been considered but does NOT place the application in condition for allowance because:
See Continuation Sheet

12. Note the attached *Information Disclosure Statement(s)*. (PTO/SB/08) Paper No(s). _____.

13. Other: _____.

/Eric W. Stamber/
Supervisory Patent Examiner, Art Unit 3622

/N. B./
Examiner, Art Unit 3622

Continuation of 11. The Applicant arguments are not persuasive.

The Applicant argues that Jurisdiction selection feature in Lexis is a convenience feature so that the user does not have to enter the frequently used search criteria each time the user requests a search. The Examiner respectfully disagrees with this, since a user may actually want to reset the Jurisdiction for each search depending on the type of authority (binding or persuasive) that the user is looking for in researching his legal issue. So, the user would actually be selecting which databases the user would want to search for a particular case. Furthermore, Lexis charges users based on which databases the user searches. A full search in all the databases costs more than a specific search in a particular database.

The Applicant argues that the database selection is one of the search criteria. Even assuming this is the case, only the user selected database is being searched in Lexis to determine the optimal results for the user, and the user is only charged by Lexis for the specific database searched by the user. Therefore, the Jurisdiction selection in Lexis does teach "pre-defining one or more user-selectable limiting factors in a recommender system that limit optimal processing characteristics of a recommendation-generating process implemented in the recommender system" as claimed.

While the Examiner agrees that some of the factors disclosed in Lexis such as KWIC search etc are limiting the results after the results have already been processed, other factors in Lexis regarding Jurisdiction selections, are done prior to conducting a search in a database and may be done later as well. For example, a user can select sources such as state court cases, Federal and State case law, MO Supreme Court and Court of Appeals Cases, and only the selected source databases will be searched for the user provided query. And, these are therefore clearly optimal processing characteristics of a recommendation generating process that pertain to the operation of the device to search specific databases to produce the results and not to simply the presentation of the results in a particular manner.